

Wir investieren auch in US-amerikanische Zielfonds. Mit Hilfe des Formulars W-8BEN können wir unter bestimmten Voraussetzungen reduzierte US-Quellensteuersätze (0 bzw. 15 % statt 30 %) erreichen.

Form **W-8BEN**

### Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Rev. October 2021)

OMB No. 1545-1621

Department of the Treasury  
Internal Revenue Service

- ▶ For use by individuals. Entities must use Form W-8BEN-E.
- ▶ Go to [www.irs.gov/FormW8BEN](http://www.irs.gov/FormW8BEN) for instructions and the latest information.
- ▶ Give this form to the withholding agent or payer. Do not send to the IRS.

**Do NOT use this form if:**

- You are NOT an individual
- You are a U.S. citizen or other U.S. person, including a trust
- You are a beneficial owner claiming that income is exempt from U.S. tax (other than personal services)
- You are a beneficial owner who is receiving a distribution from a U.S. trust
- You are a person acting as an intermediary

Bei Partnerzeichnung ist ein zweites W-8BEN-Formular erforderlich!

Handelt es sich beim Zeichner nicht um eine natürliche Person wenden Sie sich bitte direkt an die RWB zur Bestimmung des korrekten Formulars.

**Note:** If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

**Part I Identification of Beneficial Owner** (see instructions)

<b>1</b> Name of individual who is the beneficial owner <b>Max Mustermann</b>	<b>2</b> Country of citizenship <b>Germany (Land der Staatsbürgerschaft)</b>
<b>3</b> Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. <b>Musterstrasse 1</b>	
City or town, state or province. Include postal code where appropriate. <b>12345 Musterhausen</b>	Country <b>Germany (Land)</b>
<b>4</b> Mailing address (if different from above)	
City or town, state or province. Include postal code where appropriate.	
<b>5</b> U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)	
<b>6a</b> Foreign tax identifying number (see instructions) <b>12345678901 (deutsche Steuer-ID)</b>	<b>6b</b> Check if the beneficial owner is not an individual. <b>6b) nur anzukreuzen, falls gesetzlich keine Steuernummer vorgeschrieben (z.B. ausländischer Zeichner)</b> <input type="checkbox"/>
<b>7</b> Reference number(s) (see instructions)	<b>8</b> Date of birth (MM-DD-YYYY) (see instructions) <b>06-15-1960 (Geburtsdatum in amerikanischer Schreibweise)</b>

**Part II Claim of Tax Treaty Benefits** (for chapter 3 purposes only) (see instructions)

**9** I certify that the beneficial owner is a resident of **Germany** within the meaning of the income tax treaty between the United States and that country.\*

**10 Special rates and conditions** (if applicable—see instructions) of the treaty identified **\* Ich bestätige hiermit, dass der wirtschaftliche Eigentümer ein Einwohner von Deutschland im Sinne des Einkommensteuerabkommens zwischen den Vereinigten Staaten von Amerika und eben diesem Land ist.**

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: \_\_\_\_\_

**Part III Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
  - (a) income not effectively connected with the conduct of a trade or business in the United States;
  - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
  - (c) the partner's share of a partnership's effectively connected taxable income; or
  - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions, I am the beneficial owner or any withholding agent that can certify that the information on this form is correct.

Furthermore, I authorize the person named on line 1 of this form to sign for me. If I am the beneficial owner or any withholding agent that can certify that the information on this form is correct, any certification made on this form becomes incorrect.

\*\* Nur bei Vertretung anzukreuzen: „Ich bestätige, dass ich in der Lage bin, für die in Zeile 1 dieses Formulars genannte Person zu unterschreiben.“

I certify that I have the capacity to sign for the person identified on line 1 of this form.

Sign Here

**Max Mustermann**  
Signature of beneficial owner (or individual authorized to sign for beneficial owner)  
**MAX MUSTERMANN**  
Print name of signer

**(amerikanische Schreibweise)**  
**08-01-2021**  
Date (MM-DD-YYYY)

For Paperwork Reduction Act Notice, see separate instructions.

Cat. No. 25047Z

Form **W-8BEN** (Rev. 10-2021)

**Hinweis:**

Dieses Dokument soll Ihnen bei der Befüllung des Formulars W-8BEN helfen und stellt keine Steuerberatung dar. Sollten Sie Fragen zu Ihrer persönlichen steuerlichen Situation haben, wenden Sie sich bitte an Ihren steuerlichen Berater.